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NOTICE OF ALLOWANCE AND FEE(S) DUE

58249

7590

01/22/2010

COOLEY GODWARD KRONISH LLP ATTN: Patent Group Suite 1100 777 - 6th Street, NW WASHINGTON, DC 20001 EXAMINER

RIGGS II, LARRY D

ART UNIT PAPER NUMBER

1631

DATE MAILED: 01/22/2010

309602-2082

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/501,933	10/27/2004	Donna L. Mendrick	OCIM-002/15US	7118

TITLE OF INVENTION: METHODS FOR DETERMINING HEPATOTOXINS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	04/22/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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If the SMALL ENTITY is shown as NO:

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II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

indicated unless correcte maintenance fee notifica	correspondence including the delow or directed oth tions.	erwise in Block 1, by (a					correspondence address as arate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, mushave its own certificate of mailing or transmission.			
58249	58249 7590 01/22/2010					· ·	mission
COOLEY GODWARD KRONISH LLP ATTN: Patent Group Suite 1100				Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the Unite States Postal Service with sufficient postage for first class mail in an envelop addressed to the Mail Stop ISSUE FEE address above, or being facsimil transmitted to the USPTO (571) 273-2885, on the date indicated below.			
777 - 6th Street, WASHINGTON							(Depositor's name)
***************************************	., 20 20001						(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/501,933	10/27/2004	•	Donna L. Mendrick		О	CIM-002/15US	7118
TITLE OF INVENTION	: METHODS FOR DET	ERMINING HEPATOTO	DXINS			309602-2082	
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E EEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO NO	\$1510	\$300	\$0	L TLL	\$1810	04/22/2010
			· T	9 0		\$1610	04/22/2010
EXAM		ART UNIT	CLASS-SUBCLASS				
RIGGS II,		1631	702-019000				
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.			2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to				
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or typ	pe)			
PLEASE NOTE: Unl	less an assignee is ident h in 37 CFR 3.11. Comr	fied below, no assignee eletion of this form is NO	data will appear on the pa T a substitute for filing an	atent. If an assign	ee is io	dentified below, the d	ocument has been filed for
(A) NAME OF ASSIG	•		(B) RESIDENCE: (CITY	· ·	COUNT	TRY)	
Please check the appropr	iate assignee category or	categories (will not be pr	rinted on the patent): \Box	Individual 🖵 Co	orporati	ion or other private gr	oup entity 🚨 Government
4a. The following fee(s)	are submitted:	41	o. Payment of Fee(s): (Plea	se first reapply ar	1y prev	viously paid issue fee	shown above)
Issue Fee			A check is enclosed.		-		
Publication Fee (No small entity discount permitted) Advance Order - # of Copies			☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any				
Advance Order -	# of Copies		overpayment, to Depo	sit Account Number	er	(enclose a	n extra copy of this form).
5. Change in Entity Sta a. Applicant claim	tus (from status indicated is SMALL ENTITY statu	,	☐ b. Applicant is no long	ger claiming SMAl	LL EN	ΓΙΤΥ status. See 37 C	FR 1.27(g)(2).
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if requeecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than to Office.	ne applicant; a regi	stered	attorney or agent; or the	ne assignee or other party in
Authorized Signature				Date			
Typed or printed name				-			
This collection of inform an application. Confiden submitting the complete this form and/or suggesti Box 1450, Alexandria, V Alexandria. Virginia 223	tiality is governed by 35 d application form to the ions for reducing this but Virginia 22313-1450. DC	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the NOT SEND FEES OR	on is required to obtain or r 1.14. This collection is est depending upon the indiv e Chief Information Office COMPLETED FORMS TO	etain a benefit by t imated to take 12 i idual case. Any co r, U.S. Patent and D THIS ADDRESS	he pub minutes omment Traden S. SEN	lic which is to file (and is to complete, including the amount of timerk Office, U.S. Dep D TO: Commissioner	d by the USPTO to process) ng gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/501,933	10/27/2004	Donna L. Mendrick	OCIM-002/15US 309602-2082	7118
58249 7.	590 01/22/2010		EXAM	INER
COOLEY GODWARD KRONISH LLP			RIGGS II,	LARRY D
ATTN: Patent Gro	oup		ART UNIT	PAPER NUMBER
Suite 1100 777 - 6th Street, N WASHINGTON,			1631 DATE MAILED: 01/22/201	0

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 602 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 602 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
	10/501,933	MENDRICK ET AL.	
Notice of Allowability	Examiner	Art Unit	
	LARRY D. RIGGS II	1631	
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT F of the Office or upon petition by the applicant. See 37 CFR 1.31	pears on the cover sheet were sheet were common to the com	ith the correspondence address n this application. If not included unication will be mailed in due course. subject to withdrawal from issue at the	
1. This communication is responsive to <u>amendments and ar</u>	guments filed 04 August 200	<u>19</u> .	
2. The allowed claim(s) is/are <u>70-79</u> .			
 3. Acknowledgment is made of a claim for foreign priority of a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). 	re been received. re been received in Applicati	on No	n the
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONI THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subr	MENT of this application.		
INFORMAL PATENT APPLICATION (PTO-152) which give	ves reason(s) why the oath o	r declaration is deficient.	
5. CORRECTED DRAWINGS (as "replacement sheets") mu	ist be submitted.		
(a) ☐ including changes required by the Notice of Draftsper	son's Patent Drawing Revie	w (PTO-948) attached	
1) ☐ hereto or 2) ☐ to Paper No./Mail Date	_•		
(b) ☐ including changes required by the attached Examiner Paper No./Mail Date			
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in			AT .
 DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT 			1
Attachment(s)	_		
1. Notice of References Cited (PTO-892)	<u>=</u>	nformal Patent Application	
 Notice of Draftperson's Patent Drawing Review (PTO-948) 	Paper No	lummary (PTO-413), /Mail Date	
 Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date <u>2/27/2007</u> 	7. 🛛 Examiner's	Amendment/Comment	
4. Examiner's Comment Regarding Requirement for Deposit	8. 🛛 Examiner's	Statement of Reasons for Allowance	
of Biological Material	9.	<u>_</u> .	
	/Marjorie Mor	an/	
	'	tent Examiner, Art Unit 1631	

Information Disclosure Statement

It is noted that the although all references cited on IDS filed 2/27/2007 wer4e considered, some citations on the IDS itself were incomplete. The Examiner has annotated the IDS to bring it into compliance with 37 CFR 1.98. A copy of the annotated IDS, with a new signature and date, is attached hereto.

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone response with John Witherspoon on 23 November 2009.

The application has been amended as follows:

Listing of the claims:

1-69 (Cancelled)

- 70. (Currently Amended) A method for determining whether a test compound is a hepatotoxin, comprising:
 - (a) exposing liver tissue or liver cells to the test compound;

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(b) preparing a normalized gene expression profile of at least ten genes for said liver tissue or liver cells, wherein the gene expression profile contains the differential gene expression levels for said at least ten genes upon exposure to the test compound, and wherein said at least ten genes are listed in one of Tables 5A-5WWW;

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- (c) comparing the gene expression profile to a hepatotoxicity model, the hepatotoxicity model comprising:
 - (i) normalized mean expression levels from one of Tables 5A-5WWW, of said at least ten genes in liver tissue or liver cells exposed to a known hepatotoxin,
 - (ii) normalized mean expression levels from one of Tables 5A-5WWW,of said at least ten genes in unexposed liver tissue or liver cells not exposed to a hepatotoxin; and
- (d) scoring the comparison to determine whether the test compound is a hepatotoxin.
- 71. (Previously Presented) The method of claim 70, wherein the gene expression profile contains the differential gene expression levels for at least 100 genes listed in one of Tables 5A-5W-WW, and wherein the hepatotoxicity model comprises the gene expression levels in said one of Tables 5A-5WWW.
- 72. (Previously Presented) The method of claim 70, wherein said gene expression profile is generated by hybridization of nucleic acids to a microarray, and is normalized

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for hybridization conditions, label intensity, and reading efficiency prior to comparison.

- 73. (Previously Presented) The method of claim 70, wherein the hepatotoxicity model comprises all the information in one of Tables 5A-5WWW.
- 74. (Previously Presented) The method of claim 70, wherein the liver tissue or liver cells are exposed to the test compound in vivo and the hepatotoxicity model is generated by exposure of liver tissue or liver cells to the known hepatotoxin in vivo.
- 75. (Previously Presented) The method of claim 70, wherein the known hepatotoxin is associated with at least one of carcinogenesis, cholestasis, hepatitis, liver enlargement, inflammation, liver necrosis, liver steatosis, and peroxisome proliferation.
- 76. (Previously Presented) The method of claim 70, wherein the known hepatotoxin is one or more of acetominophen, 2-acetylaminofluorene (2-AAF), acyclovir, ANIT, AY-25329, BI liver toxin, chloroform, bicalutarnide, carbon tetrachloride, CI-1000, clofibrate, colchicine, CPA, diclofenac, diflunisal, dimethylnitrosamine (DMN), dioxin, 17a-ethinylestradiol, gemfibrozil, hydrazine, indomethacin, LPS, menadione, phenobarbitol, tacrine, thioacetamide, valproate, WY-14643, and zileuton.
- 77. (Previously Presented) The method of claim 70, wherein the gene expression profile contains the differential gene expression levels for at least 20 genes listed in one

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of Tables 5A-5WWW, and wherein the hepatotoxicity model comprises the gene expression levels in said one of Tables 5A-5WWW.

- 78. (Previously Presented) The method of claim 70, wherein the gene expression profile contains the differential gene expression levels for at least 30 genes listed in one of Tables 5A-5WWW, and wherein the hepatotoxicity model comprises the gene expression levels in said one of Tables 5A-5WWW.
- 79. (Previously Presented) The method of claim 70, wherein the comparison is scored by determining whether the test compound induces a change in expression of the at least 10 genes in the same direction as the known hepatotoxin.

The following is an examiner's statement of reasons for allowance:

No art shows determining whether a test compound is a hepatotoxin by comparing a hepatotoxicity model of at least ten genes listed in Tables 5A-5WWW with the differential expression of at least ten genes listed in Tables 5A-5WWW that results from liver tissue or liver cells exposed to the test compound.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

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Conclusion

Claims 70-79 are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LARRY D. RIGGS II whose telephone number is (571)270-3062. The examiner can normally be reached on Monday-Thursday, 7:30AM-5:00PM, ALT. Friday, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Marjorie Moran can be reached on 571-272-0720. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/LDR/ Larry Riggs Examiner, Art Unit 1631

/Marjorie Moran/ Supervisory Patent Examiner, Art Unit 1631